

3. In consideration of registration of the aforesaid securities in the client account of Mr / Mrs. _____ under DP ID _____ Client ID _____ at my request, I/We hereby renounce all my/our rights existing as well as those that may accrue to me/us in future in respect of the aforesaid securities.

Signed in the presence of

Bank Manager

Signature of the legal heir

Full Name and Address of Bank Manager:

Name : _____
 Address : _____

Note for all legal heirs/successors who are applicants / non-applicants:

Only one Transmission Request Form is to be submitted by claimants/non-claimants to the DP of the deceased BO for the transmission of securities wherein the intentions of the legal heirs/successors are collectively stipulated.

======(Please tear here)=====

Application No.

Acknowledgement Receipt

Date: -

We hereby acknowledge receipt of the instructions for transmission of securities from the deceased BO's account to the account of the Nominee(s) / Successor / Guardian of the successor or nominee(s) (in case of Minor), as per details given on the transmission form.

Account number of the deceased BO

DP ID										Client ID							
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Successor BO Name(s)		
First/Sole Holder	Second Holder	Third Holder
Documents Submitted		

Subject to verification.

Depository Participants Seal & Signature

Letter of Indemnity

**To,
Depository Participant Name
Address**

Dear Sirs,

**Sub: Transmission of securities standing in the name of
Late Mr./Mrs. _____**

I/We hereby inform you that Mr./Mrs. _____ the deceased, was holding a Client account no. _____ with _____ a Depository Participant having DP ID _____. The said deceased BO was holding the following securities:

ISIN	Name of Company	Number of securities

The said deceased died intestate without leaving a Will on the ____ day of _____.

We further inform you that he/she left behind him/her only surviving heirs and next of kin, the following persons according to the Law of Intestate Succession application to him/her by which he/she was governed at the time of his/her death.

- (a) _____
- (b) _____
- (c) _____

We have, therefore, approached you with a request to transfer the aforesaid securities in the name of the undersigned Mr. / Mrs. / Ms. _____ on my/our behalf without insisting on the production of a Succession Certificate or an Order of the Court of competent jurisdiction and you have kindly agreed to do so on my/our executing an indemnity as is herein contained and on relying on the information herein given by us believing the same to be true.

In consideration, therefore, of your having at our request agreed to transfer securities to the name of the undersigned _____, I / we hereby jointly and severally agree and undertake to indemnify and keep indemnified, saved, defended, harmless you and your successors and assigns for all time hereafter against all losses, costs, claims, actions, demands, risks, charges, expenses, damages, etc., whatsoever which you may suffer and/or incur by reason of your, at my/our request, transferring the said securities as herein above mentioned, to the undersigned _____ without insisting on production of a Succession Certificate or an Order of the Court of competent jurisdiction.

IN WITNESS WHEREOF THE said _____ have here unto set their
[Name(s) of applicant(s)]
respective hands and seals this _____ day of _____ of _____.

Signed and delivered by the said applicant(s)

Signature(s) of applicant(s)

Date: _____

Place: _____ **(Signature of Magistrate/Notary)**

Full Name and Address of Magistrate /Notary:

Name : _____

Address : _____

PIN _____

Registration No : _____

Use space below to affix:

Notarial / Court Fee Stamp	<u>Official Seal of Magistrate / Notary</u>
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Note: This indemnity is to be executed in the presence of a first class or stipendiary Magistrate / Public notary / Judicial.

[ref: Communiqué no. **CDSL/OPS/DP/1685** dated August 18, 2009]

Affidavit

I, _____ son/daughter/spouse of _____ residing at _____ do hereby solemnly affirm an oath and state as under: -

1. That Mr. / Mrs. _____ the deceased was holding a Client Account No. _____ with _____ a Depository Participant having DP ID _____. The said deceased was holding the following securities:

ISIN	Name of Company	No. of securities

2. That the deceased had died intestate on _____ at _____.
3. That the following are the only legal heir(s) of late Mr. / Mrs. _____.

	Name	Address	Age	Relationship with the deceased
1				
2				
3				
4				

4. That out of aforesaid legal heirs Master / Kumari _____ aged _____ years is a minor and he / she is being represented by his / her father/mother and natural guardian Mr. / Mrs. _____.
5. That all the legal heirs of my deceased _____ have applied to _____ (DP name) to register the aforesaid securities in my/our individual/joint beneficial owner account and have executed a Letter of Indemnity in favour of the Participant/CDSL holding the Participant / CDSL indemnified against any loss, cost, expenses or damages which may be caused to them in consequence of any claim which may be made by or on behalf of any person claiming any interest in the said shares.

DEPONENT

VERIFICATION

I hereby solemnly affirm and say that what is stated herein above is true to my knowledge and nothing has been concealed therein and that I am competent to contract and entitled to rights and benefits of the above securities.

Solemnly affirmed at _____ on the _____ day of _____ of _____.

Full Name and Address of Magistrate / Notary

Signed in the presence of
Name : _____

Address : _____

City _____ Pin _____

Registration No : _____

(Signature of Magistrate / Notary)

Use space below to affix:

Notarial / Court Fee Stamps	Official Seal of Magistrate/Notary

- Notes:**
1. This affidavit is to be executed in the presence of a first class or stipendiary Magistrate / Public notary / Judicial.
 2. Each deponent should sign this affidavit separately.

[ref: Communiqué no. **CDSL/OPS/DP/1879** dated February 02, 2010]